

IMPORTERS' QUESTIONNAIRE

BALL BEARINGS FROM CHINA

Return completed questionnaire to:

UNITED STATES INTERNATIONAL TRADE COMMISSION

Office of Investigations, Room 615-B
500 E Street, SW, Washington, DC 20436

So as to be received by the Commission by no later than February 28, 2002

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its antidumping investigation concerning ball bearings from China (inv. No. 731-TA-989 (Preliminary)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your possession (19 U.S.C. § 1333(a)).

Name of firm _____

Address _____

City _____ State _____ Zip code _____

E-mail _____ World Wide Web address _____

Has your firm imported ball bearings (as defined in the instruction booklet) from any country since January 1, 1999?

☐

NO

(Sign the certification below and promptly return only this page of the questionnaire to the Commission)

☐

YES

(Read the instruction booklet carefully, complete all parts of the questionnaire, sign the certification, and return the entire questionnaire to the Commission)

CERTIFICATION

The undersigned certifies that the information herein supplied in response to this questionnaire is complete and correct to the best of his/her knowledge and belief and understands that the information submitted is subject to audit and verification by the Commission.

Your signature on the certification below will also serve as consent for the Commission, and its employees and contract personnel, to use the information you provide in this questionnaire and throughout this investigation in any other import-injury investigations conducted by the Commission on the same or similar merchandise. If you do not consent to such use, please note the certification accordingly.

The undersigned acknowledges that information submitted in this questionnaire response and throughout this investigation may be used by the Commission, its employees, and contract personnel who are acting in the capacity of Commission employees, for developing or maintaining the records of this investigation or related proceedings for which this information is submitted, or in internal audits and investigations relating to the programs and operations of the Commission pursuant to 5 U.S.C. Appendix 3. I understand that all contract personnel will sign non-disclosure agreements.

Name and Title of Authorized Official

Date

Signature of Authorized Official

()

Phone

()

Fax

PART I.—GENERAL QUESTIONS

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 20 hours per response, including the time for reviewing instructions, searching existing data sources, gathering the data needed, and completing and reviewing the questionnaire. Send comments regarding the accuracy of this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Office of Investigations, U.S. International Trade Commission, 500 E Street, SW., Washington, DC 20436.

- I-1. Please report below the actual number of hours required and the cost to your firm of preparing the reply to this questionnaire and completing the form.

_____ hours _____ dollars

- I-2. Provide the name and address of establishment(s) covered by this questionnaire (see page 3 of the instruction booklet for reporting guidelines). If your firm is publicly traded, please specify the stock exchange and trading symbol.

- I-3. Is your firm owned, in whole or in part, by any other firm?

☐ No ☐ Yes—List the following information.

<u>Firm name</u>	<u>Address</u>	<u>Extent of ownership</u>
_____	_____	_____
_____	_____	_____

- I-4. Does your firm have any related firms, either domestic or foreign, which are engaged in importing ball bearings from China into the United States or which are engaged in exporting ball bearings from China to the United States?

☐ No ☐ Yes—List the following information.

<u>Firm name</u>	<u>Address</u>	<u>Affiliation</u>
_____	_____	_____
_____	_____	_____

PART I. GENERAL QUESTIONS—Continued

I-5. Does your firm have any related firms, either domestic or foreign, which are engaged in the production of ball bearings?

☐ No ☐ Yes—List the following information.

<u>Firm name</u>	<u>Address</u>	<u>Affiliation</u>
_____	_____	_____
_____	_____	_____

I-6. Please indicate the nature of your firm's importing operations on ball bearings. More than one answer may be applicable.

☐ Importer of record ☐ Takes title to the imported product(s)
☐ Consignee of the imported product(s) ☐ Customs broker or freight forwarder

I-7. If your firm is an importer of record of ball bearings but is **not** the consignee, please list the consignees below (company name, address, telephone, and individual to contact).

I-8. Please indicate whether your firm enters ball bearings into, or withdraws such merchandise from, foreign trade zones or bonded warehouses.

Foreign trade zones ☐ No ☐ Yes
Bonded warehouses ☐ No ☐ Yes

I-9. Please indicate whether your firm imports ball bearings under the TIB (temporary importation under bond) program.

☐ No ☐ Yes

I-10. To your knowledge, have the products subject to this investigation been the subject of any other import relief investigations in the United States or in any other countries?

☐ No ☐ Yes—Please specify. _____

PART II.—TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Fred Ruggles (202-205-3187).
Supply all data requested on a calendar-year basis.

II-1. Who should be contacted regarding the requested trade and related information?

Company contact: _____
Name and title

Phone No.

E-mail address

II-2. Has your firm experienced any plant openings, relocations, expansions, acquisitions, consolidations, closures, or prolonged shutdowns because of strikes or equipment failure, or any other change in the character of your operations or organization relating to the importation of ball bearings since January 1, 1999?

☐ No

☐ Yes—Supply details as to the time, nature, and significance of such changes.

II-3. Has your firm imported or arranged for the importation of ball bearings from China for delivery after December 31, 2001?

☐ No

☐ Yes—Indicate when such orders are to be delivered and the quantities involved.

II-4. If your firm also produces ball bearings in the United States, please indicate your reasons for importing this product. If your reasons differ by source, please elaborate.

PART II.—TRADE AND RELATED INFORMATION—Continued

II-5. **IMPORTS BY SOURCE.**—Report your firm's imports and your firm's shipments and inventories of ball bearings imported by your firm during the specified periods. (See definitions in the instruction booklet.) **Report separately for China and for all other sources combined.** Photocopy this page as necessary.

☐

China

☐All other sources combined¹

(Quantity in 1,000 bearings or bearing equivalents, value in \$1,000)			
Item	Calendar years		
	1999	2000	2001
BEGINNING-OF-PERIOD INVENTORIES (quantity)			
IMPORTS:²			
Quantity of imports			
Value of imports			
U.S. SHIPMENTS:			
Commercial shipments:			
Quantity of commercial shipments			
Value of commercial shipments			
Internal consumption/company transfers:			
Quantity of internal consumption/transfers			
Value ³ of internal consumption/transfers			
EXPORT SHIPMENTS:⁴			
Quantity of export shipments			
Value of export shipments			
END-OF-PERIOD INVENTORIES⁵ (quantity)			
U.S. SHIPMENTS TO DISTRIBUTORS (quantity)			
U.S. SHIPMENTS TO END USERS (quantity)			
¹ Please identify these sources: _____			
² Please identify the foreign producers, if known: _____			
³ Sales to related firms (including internal consumption) must be valued at fair market value. In the event that you use a different basis for valuing these sales within your company, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for 1999, 2000, and 2001 below: _____			
⁴ Identify your principal export markets: _____			
⁵ Reconciliation of data.—Please note that the quantities reported above should reconcile as follows: beginning-of-period inventories, plus imports, less total shipments, equals end-of-period inventories. Do the data reported reconcile? <input type="checkbox"/> Yes <input type="checkbox"/> No—Please explain: _____			

PART III.-PRICING AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Josh Levy (202-205-3236).

III-1. Who should be contacted regarding the requested pricing and related information?

Company contact: _____
Name and title

Phone No. E-mail address

Section III-A.-PRICE DATA

This section requests quarterly price and quantity data, f.o.b. your U.S. point of shipment, concerning your firm's U.S. commercial shipments to unrelated U.S. customers of the following products imported from China during January 1999-December 2001:

Product 1.--608ZZ-Radial ball bearing, single row, deep groove. 8 mm bore, 22 mm OD, 7 mm width, with two shields. ABEC 1-3 tolerances.

Product 2.--6001-2RS-Radial ball bearing, single row, deep groove. 12 mm bore, 28 mm OD, 8 mm width, sealed. ABEC 1-3 tolerances.

Product 3.--6201-2RS-Radial ball bearing, single row, deep groove. 12 mm bore, 32 mm OD, 10 mm width, sealed. ABEC 1-3 tolerances.

Product 4.--6203ZZ-Radial ball bearing, single row, deep groove. 17 mm bore, 40 mm OD, 12 mm width, with two shields. ABEC 1-3 tolerances.

Product 5.--6206-2RS-Radial ball bearing, single row, deep groove. 30 mm bore, 62 mm OD, 16 mm width, sealed. ABEC 1-3 tolerances.

Product 6.--RA100RR-Wide inner ring ball bearing (extended inner ring type). 1 inch shaft, locking collar, cylindrical OD, nonrelubricatable. ABEC 1 tolerance.

PART III. PRICING AND RELATED INFORMATION—Continued

Section III-A. PRICE DATA—Continued

COPY THIS PAGE AS NECESSARY. Complete a separate page for each of the specified products imported from China and sold by your firm.

Product 1 ☐ Product 2 ☐ Product 3 ☐ Product 4 ☐ Product 5 ☐ Product 6 ☐

(Quantity in 1,000 bearings or bearing equivalents, value in dollars)		
Period of shipment	Quantity	Value ¹
1999:		
January-March		
April-June		
July-September		
October-December		
2000:		
January-March		
April-June		
July-September		
October-December		
2001:		
January-March		
April-June		
July-September		
October-December		
¹ F.o.b. your U.S. point of shipment.		

PART III. PRICING AND RELATED INFORMATION—Continued

Section III-B. PRICE-RELATED QUESTIONS

- III-B-1. Please describe how your firm determines the prices that it charges for sales of ball bearings (transaction by transaction negotiation, contracts for multiple shipments, set price lists, etc.). If your firm issues price lists, please include a copy of a recent price list with your submission. If your price list is large, please submit sample pages.

- III-B-2. Please describe your firm's discount policy (quantity discounts, annual total volume discounts, etc.).

- III-B-3. What are your firm's typical sales terms for ball bearings imported from China (e.g., 2/10 net 30 days)? _____. On what basis are your prices of such product usually quoted (e.g., f.o.b. port of entry, or delivered)? _____

- III-B-4. Approximately what percentage of your firm's sales of ball bearings imported from China are on a contract (____ percent) vs. spot sales (____ percent) basis? If you sell on a contract basis, please answer the following questions with respect to provisions of a typical contract.

(a) What is the average duration of a contract? _____

(b) How frequently are contracts renegotiated? _____

(c) Does the contract fix quantity, price, or both? _____

(d) Does the contract have a meet or release provision? _____

(e) What are the standard quantity requirements, if any? _____

(f) What is the price premium for sub-minimum shipments? ____ percent

- III-B-5. What is the average lead time between a customer's order and the date of delivery for your firm's sales of ball bearings? _____

- III-B-6. What is the approximate percentage of the total delivered cost of ball bearings that is accounted for by transportation costs? ____ percent. Who generally arranges the transportation to your customers' locations? Your firm ____ or purchaser ____ (check one). What proportion of your sales occur within 100 miles of your storage facility or the port of entry? ____ percent. 101 to 1,000 miles? ____ percent. Over 1,000 miles? ____ percent.

PART III. PRICING AND RELATED INFORMATION—Continued

Section III-B. PRICE-RELATED QUESTIONS—Continued

III-B-7. What is the geographic market area in the United States served by your firm's imports of ball bearings from China ?

III-B-8. What other products may be substitutes for ball bearings?

III-B-9. Describe the end uses of the ball bearings that you import from China. For each end use product, what percentage of the total cost is accounted for by ball bearings?

III-B-10. How has the demand within the United States (and outside the United States if known) for ball bearings changed since January 1, 1999? What were the principal factors affecting changes in demand?

III-B-11. Have there been any significant changes in the product range or marketing of ball bearings in the past five years?

☐ No ☐ Yes—Please describe.

III-B-12. Does your firm purchase or sell ball bearings over the internet?

☐ No ☐ Yes—Please describe, noting the estimated percentage of your firm's total purchases/sales of ball bearings in 2001 accounted for by internet transactions.

PART III. PRICING AND RELATED INFORMATION—Continued

Section III-B. PRICE-RELATED QUESTIONS—Continued

III-B-13. Are the U.S.-produced and imported ball bearings from China used interchangeably (i.e., can they physically be used in the same applications)?

☐ Yes ☐ No—Please explain.

III-B-14. Are the U.S.-produced and NONSUBJECT imported ball bearings (i.e., product imported from countries/firms not subject to this investigation) generally used interchangeably?

☐ Yes ☐ No—Please explain, by country.

III-B-15. Are NONSUBJECT imported ball bearings and imported ball bearings from China used interchangeably?

☐ Yes ☐ No—Please explain, by country.

III-B-16. Are there any differences in product characteristics or sales conditions between U.S.-produced ball bearings and ball bearings imported from China that are a significant factor in your firm's sales of ball bearings?

☐ No ☐ Yes—Please describe any such advantages or disadvantages of the domestic product vis-a-vis the imported product (e.g., quality, availability, transportation network, product range, technical support, etc.).

PART III. PRICING AND RELATED INFORMATION—Continued

Section III-B. PRICE-RELATED QUESTIONS—Continued

III-B-17. Are there any differences in product characteristics or sales conditions between U.S.-produced ball bearings and NONSUBJECT imported ball bearings that are a significant factor in your firm's sales of ball bearings?

☐ No

☐ Yes—Please describe any such advantages or disadvantages of the domestic product vis-a-vis the nonsubject imported product, by country of origin.

III-B-18. Are there any differences in product characteristics or sales conditions between NONSUBJECT imported ball bearings and imported ball bearings from China that are a significant factor in your firm's sales of ball bearings?

☐ No

☐ Yes—Please describe, by country, any such advantages or disadvantages of the nonsubject imported product vis-a-vis the imported product from China.

PART III. PRICING AND RELATED INFORMATION—Continued**Section III-C. CUSTOMER IDENTIFICATION**

Please provide the names and addresses of your firm's 10 largest customers for ball bearings imported from China during 1999-2001. Please also provide the name and telephone number of a contact person and the share of the quantity of your firm's total imports of ball bearings from China that each of these customers accounted for in 2001.

No.	Customer's name	Street address, state, and zip code	Contact person	Area code and telephone number	Share of 2001 sales (%)
1					
2					
3					
4					
5					
6					
7					
8					
9					
10					